

Exhibit 300 (BY2008)

PART ONE	
OVERVIEW	
1. Date of Submission:	2006-11-07
2. Agency:	015
3. Bureau:	10
4. Investment Name:	Treasury Check Information System (TCIS)
5. UPI:	015-10-01-14-01-1110-00
6. What kind of investment will this be in FY2008?	
Mixed Life Cycle	
7. What was the first budget year this investment was submitted to OMB?	
FY2001 or earlier	
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	
<p>The Treasury Check Information System (TCIS), a replacement for the current Check Payment and Reconciliation (CP&R) system, is a state-of-the-art and secure record keeping, reconciliation, and claims processing system for check payments; investing in TCIS development will greatly assist in achieving Department of the Treasury (Treasury) and Financial Management Service (FMS) Strategic Goals and Objectives. The TCIS investment will limit commercial bank losses by providing improved capabilities to identify and decline altered and counterfeit Treasury checks more expeditiously. TCIS is vital to assure Federal agencies and those receiving check payments will continue to receive top-flight reconciliation and claims service from a highly trained staff. The accessibility features of TCIS will allow all federal agencies to view payment information on-line from desktops, and will greatly enhance agencies' ability to exercise sound financial management practices and controls. The TCIS investment will ensure the highest level of financial integrity; provide needed reliability; significantly improve the processing of transactions, and provide assistance in reducing the losses resulting from payments on counterfeited or altered Treasury checks or from administrative errors in the check payment reconciliation and claims processes. The TCIS investment directly supports the President's Management Agenda (PMA). Financial Management Service (FMS) entered into an arrangement with the Federal Reserve Bank (FRB) of Philadelphia as a fiscal agent to procure a Commercial Off-The-Shelf (COTS) product from _____, to perform the centralized reconciliation and claims processing for all Treasury checks disbursed world-wide. The FRB is overseeing the customization of the COTS software to meet unique Governmental processing needs, and is integrating a COTS Document Management System procured from _____. The FRB will maintain the system software from the FRB Philadelphia site and the system hardware and software at the FRB Dallas site will be operated by Treasury Web Applications Infrastructure operations staff. The TCIS Project closes a performance gap with CP&R, an antiquated, main frame-based, paper document-dependent, labor-intensive, non-FMS TRM compliant system(s) in operation prior to TCIS implementation. Studies concluded that CP&R was an inefficient, costly and soon to be obsolete workhorse system that needed to be replaced.</p>	
9. Did the Agency's Executive/Investment Committee approve this request?	
yes	
9.a. If "yes," what was the date of this approval?	
2006-08-09	
10. Did the Project Manager review this Exhibit?	
yes	
11. Project Manager Name:	
Weilmuenster, Judy	
Project Manager Phone:	
202-874-1712	
Project Manager Email:	
Judy.Weilmuenster@fms.treas.gov	
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.	
yes	

12.a. Will this investment include electronic assets (including computers)?	
yes	
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
no	
13. Does this investment support one of the PMA initiatives?	
yes	
If yes, select the initiatives that apply:	
Budget Performance Integration	
Competitive Sourcing	
Expanded E-Government	
Financial Performance	
Human Capital	
13.a. Briefly describe how this asset directly supports the identified initiative(s)?	
Human Capital - Allows for building of internal capacity to get job done efficiently Budget Performance Integration - Improved timeliness, accuracy and accessibility of financial information Financial Performance - Accessibility features are important tools for reduction of erroneous payments and loss of funds Expanded E-Government - Web-enabled access to data for FMS, FPA and other external users via a desktop web browser Competitive Sourcing - open competition and small business set-asides	
14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?	
yes	
14.a. If yes, does this investment address a weakness found during the PART review?	
no	
14.b. If yes, what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?	
Financial Management Service Payments	
14.c. If yes, what PART rating did it receive?	
Effective	
15. Is this investment for information technology (See section 53 for definition)?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 3	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?	
yes	
19. Is this a financial management system?	
yes	
19.a. If yes, does this investment address a FFMIA compliance area?	
yes	
19.a.1. If yes, which compliance area:	
Standard General Ledger at the Transaction Level, Financial Systems Requirements and Accounting Standards	
19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.	
Treasury Check Information System is the system name. TCIS is the system acronym. Check Payment and Reconciliation is the system name. CP&R is the system acronym.	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	
Hardware	0

Software	42																												
Services	30																												
Other	28																												
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?																													
n/a																													
22. Contact information of individual responsible for privacy related questions.																													
Name																													
Larry Phelps																													
Phone Number																													
202 874-8263																													
Title																													
Program Analyst																													
Email																													
Larry.Phelps@fms.treas.gov																													
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?																													
yes																													
SUMMARY OF SPEND																													
1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.																													
All amounts represent Budget Authority																													
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)																													
<table border="1"> <thead> <tr> <th></th> <th>PY-1 & Earlier</th> <th>PY</th> <th>CY</th> </tr> <tr> <th></th> <th>-2005</th> <th>2006</th> <th>2007</th> </tr> </thead> <tbody> <tr> <td>Planning Budgetary Resources</td> <td>1.399</td> <td>0.000</td> <td>0.000</td> </tr> <tr> <td>Acquisition Budgetary Resources</td> <td>7.221</td> <td>3.989</td> <td>0.000</td> </tr> <tr> <td>Maintenance Budgetary Resources</td> <td>3.440</td> <td>2.311</td> <td>7.109</td> </tr> <tr> <td>Government FTE Cost</td> <td>4.183</td> <td>1.951</td> <td>1.087</td> </tr> <tr> <td># of FTEs</td> <td>18</td> <td>22</td> <td>13</td> </tr> </tbody> </table>			PY-1 & Earlier	PY	CY		-2005	2006	2007	Planning Budgetary Resources	1.399	0.000	0.000	Acquisition Budgetary Resources	7.221	3.989	0.000	Maintenance Budgetary Resources	3.440	2.311	7.109	Government FTE Cost	4.183	1.951	1.087	# of FTEs	18	22	13
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Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).																													
Government FTE Costs should not be included as part of the TOTAL represented.																													
2. Will this project require the agency to hire additional FTE's?																													
no																													
PERFORMANCE																													
In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the																													

module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Table 1

	Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
1	2005	Department of the Treasury Strategic Goal F4 - Manage the U.S. Government's Finances Effectively.	The CP&R system supports the goal to manage finances more effectively by matching paid data processed by Federal Reserve Bank Check Processing Offices with check issue data reported by Treasury and Non-Treasury Disbursing Offices and automatically reconciling 99% of the items.	Match 99% of check issue data	993,808 paid data items matched with check issue data within 1 day.	Performance Metric is a success. Investment matched over 99% of check issue data within 1 day as of Sep 30, 2005.
2	2005	Department of the Treasury Strategic Goal F4 - Manage the U.S. Government's Finances Effectively.	The CP&R system supports the goal to manage finances more effectively by adjudicating 94% of all forgery and non-receipt check claims within 12 days. It limits commercial bank losses and enables Federal agencies to better reconcile and address claims.	Adjudicate 94% of all Forgery and non-receipt check claims within 14 days in FY 04.	FMS adjudicates forgery and non-receipt check claims within 12 days.	Performance Metric is a success. Investment adjudicated 100% of all forgery and non-receipt check claims within 12 days as of Sep 30, 2005.
3	2006	Department of the Treasury Strategic Goal F4 - Manage the U.S. Government's Finances Effectively.	The full implementation of the TCIS investment supports the goal to manage finances more effectively by assisting FMS movement toward an all electronic Treasury for payments, as a result of electronic case folder technology. The TCIS Electronic Document Management System will eliminate 31% of the 40,000 annual paper check claims case folders.	Processed 40,000 annual paper check claims in 05.	Processed 40,000 annual paper check claims in 05 The TCIS Electronic Document Management System eliminates 12,400 annual paper check claims case folders.	Elimination of paper check claims case folders begins with the implementation of the full TCIS investment on June 1, 2006. Results TBD.
4	2006	Department of the Treasury Strategic Goal F4 - Manage the U.S. Government's Finances Effectively.	The full implementation of the TCIS investment supports the goal to manage finances more effectively and assists FMS in moving toward an all electronic Treasury for payments, as a result of electronic case folder technology. The implementation of the	Adjudicate 94% of all Forgery and non-receipt check claims within 12 days.	Investment should adjudicate 94% of all forgery and non-receipt check claims within 12 days.	Performance Metric is a success. Investment is currently adjudicating 94.6% of all forgery and non-receipt check claims within 12 days as of June

			TCIS system contributes to FMS' ability to adjudicate 94% of all forgery and non-receipt check claims within 12 days. It limits commercial bank losses and enables Federal agencies to better reconcile and address claims.			30, 2006.
5	2007	Department of the Treasury Strategic Goal F4 - Manage the U.S. Government's Finances Effectively.	The TCIS investment supports the goal to manage finances more effectively by assisting FMS movement toward an all electronic Treasury for payments, as a result of electronic case folder technology. The TCIS Electronic Document Management System will eliminate 97% of the 40,000 annual paper check claims case folders.	Processed 27,600 annual paper check claims in 06.	The TCIS Electronic Document Management System eliminates 26,400 annual paper check claims case folders.	
6	2007	Department of the Treasury Strategic Goal F4 - Manage the U.S. Government's Finances Effectively.	The full implementation of the TCIS investment supports the goal to manage finances more effectively and assists FMS in moving toward an all electronic Treasury for payments, as a result of electronic case folder technology. The implementation of the TCIS system contributes to FMS' ability to adjudicate 94% of all forgery and non-receipt check claims within 12 days. It limits commercial bank losses and enables Federal agencies to better reconcile and address claims.	Adjudicate 94% of all Forgery and non-receipt check claims within 12 days.	Investment should adjudicate 94% of all forgery and non-receipt check claims within 12 days.	

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Table 2

	Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Technology	Improvement				
2	2007	Technology	Response Time				

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Treasury Check Information System (TCIS)/(CP&R Replacement Project)

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Data Classification	Record status for each issue and paid record imported into the database	Data Management	Data Classification			No Reuse	0
2	Data Exchange	File transfer agent to send/receive interface files, send image requests, receive images, receive scanned documents and send scanner profiles to the application.	Data Management	Data Exchange			No Reuse	0
3	Extraction and Transformation	Comparison of issue and paid data, creation of exception cases for unmatched items, capability to enter adjusting transactions. Sweeps issue and paid data for claims case creation and resolution.	Data Management	Extraction and Transformation			No Reuse	0
4	Loading and Archiving	Provides interface to access and archive documents stored in a database. Provides long-term storage generated by nightly batch cycle.	Data Management	Loading and Archiving			No Reuse	0
5	Meta Data Management	Relational Database Management System stores and manages data.	Data Management	Meta Data Management			No Reuse	0

6	Data Integration	Provides an interface to access multiple data sources and to generate	Development and Integration	Data Integration			No Reuse	0
7	Instrumentation and Testing	Provides information to perform operating system functions such as security and performance monitoring.	Development and Integration	Instrumentation and Testing			No Reuse	0
8	Legacy Integration	Provides an interface to access multiple data sources, and to generate	Development and Integration	Legacy Integration			No Reuse	0
9	Auditing	Compares issue and paid data, creates exception cases for unmatched items and provides capability to enter adjusting transactions. Sweeps issue and paid data for Claims case creation and resolution.	Financial Management	Auditing			No Reuse	21
10	Billing and Accounting	Compares issue and paid data, creates exception cases for unmatched items and provides capability to enter adjusting transactions. Sweeps issue and paid data for Claims case creation and resolution.	Financial Management	Billing and Accounting			No Reuse	0
11	Internal Controls	Restricts user access to the application function or data.	Financial Management	Internal Controls			No Reuse	0
12	Payment / Settlement	Provides the management of case data. Warehouses reports that have been generated during the nightly batch cycle.	Financial Management	Payment / Settlement			No Reuse	0
13	Ad Hoc	Provides a platform to design and build and generate reports.	Reporting	Ad Hoc			No Reuse	0
14	Standardized / Canned	Provides a platform to design and build and generate reports.	Reporting	Standardized / Canned			No Reuse	0
15	Graphing / Charting	Provides an interface to access multiple data sources, and to generate	Visualization	Graphing / Charting			No Reuse	0
16	Online Help	Provides user assistance in performing job functions.	Customer Initiated Assistance	Online Help			No Reuse	0
17	Alerts and Notifications	Provides an interface to access multiple data sources, and to generate	Customer Preferences	Alerts and Notifications			No Reuse	0
18	Customer / Account Management	Provide users with accounts and/or specific job function access.	Customer Relationship Management	Customer / Account Management			No Reuse	0
19	Classification	Provides interface to access and archive documents stored in a database.	Document Management	Classification			No Reuse	0
20	Document Conversion	_____ is used as an interface to access	Document Management	Document Conversion			No Reuse	0

		documents that are stored in a _____ database and to support the application that archives the documents. The _____ serves as the interface between the document scanner and the file transfer transport to send data to the application. _____ is the base fat client user interface into TWAI that full client applications are executed.						
21	Document Imaging and OCR	Provides interface to access and archive documents stored in a database.	Document Management	Document Imaging and OCR			No Reuse	0
22	Document Referencing	Provides File Transport interface and user interface to TWAI.	Document Management	Document Referencing			No Reuse	0
23	Document Review and Approval	Provides interface to access and archive documents stored in a database. Provides Case Management functionality.	Document Management	Document Review and Approval			No Reuse	0
24	Document Revisions	Provides interface to access and archive documents stored in a database. Provides Case Management functionality.	Document Management	Document Revisions			No Reuse	0
25	Indexing	Provides document index functionality, and faster document access.	Document Management	Indexing			No Reuse	0
26	Library / Storage	Provides the primary storage of data collected by the application.	Document Management	Library / Storage			No Reuse	0
27	Document Classification	Displays the application data into various views.	Records Management	Document Classification			No Reuse	0
28	Document Retirement	Platform specific functions are used to archive/purge information from the TCIS COTS and custom applications.	Records Management	Document Retirement			No Reuse	0
29	Record Linking / Association	Platform specific functions and interfaces access information across the applications.	Records Management	Record Linking / Association			No Reuse	0
30	Inbound Correspondence Management	Scanned and profiled documents are stored and accessed.	Routing and Scheduling	Inbound Correspondence Management			No Reuse	0
31	Outbound Correspondence Management	Processed cases are utilized for next step actions.	Routing and Scheduling	Outbound Correspondence Management			No Reuse	0
32	Case Management	Case management for claims for lost or stolen U.S. Treasury checks and unmatched issue and paid	Tracking and Workflow	Case Management			No Reuse	21

		data throughout case resolution process.						
33	Conflict Resolution	Application element access to change status or state of an application data item or element.	Tracking and Workflow	Conflict Resolution			No Reuse	0
34	Process Tracking	Functionality to determine the state of an application item that is managed by the product.	Tracking and Workflow	Process Tracking			No Reuse	0
35	Document Library	Provides a storage medium for captured check images and documents.	Collaboration	Document Library			No Reuse	0
36	Email	Provides a message service for the application to notify a supervisor when no actions have been taken on a case within a specified period of time.	Collaboration	Email			No Reuse	0
37	Task Management	Provide workbaskets for all employee assigned unresolved claims or reconciliation cases.	Collaboration	Task Management			No Reuse	0
38	Threaded Discussions	Records and maintains and records case log comments,	Collaboration	Threaded Discussions			No Reuse	0
39	Event / News Management	Printers, servers, and other network devices are monitored and an alert is provided when the device does not function properly. For example, when a TCIS printer defined to the network fails to print because there is a paper jam or the printer is merely out of paper, an alert is provided to identify that there is a problem with the device.	Communication	Event / News Management			No Reuse	0
40	Forms Creation	Provides a mechanism to create customized application specific reports (claim packages). Accesses forms defined as PDF documents.	Forms Management	Forms Creation			No Reuse	0
41	Forms Modification	Provides a mechanism to modify customized application specific reports (claim packages). Accesses forms defined as PDF documents.	Forms Management	Forms Modification			No Reuse	0
42	Classification	Provides data search functionality.	Search	Classification			No Reuse	0
43	Precision / Recall Ranking	Customized sorting and searching of data.	Search	Precision / Recall Ranking			No Reuse	0
44	Query	Provides data query/filter functionality.	Search	Query			No Reuse	0

45	Single Sign-on	Management and oversight of system access. Access restrictions to applications and infrastructure.	Security Management	Access Control	Access Control	015-00-02-00-01-1070-00	Internal	0
46	Audit Trail Capture and Analysis	Data capture for audit purposes.	Security Management	Audit Trail Capture and Analysis			No Reuse	0
47	Cryptography	Multiple levels of encryption.	Security Management	Cryptography			No Reuse	0
48	User Provisioning	Multiple levels of user login authentication.	Security Management	Identification and Authentication	Identification and Authentication	015-00-02-00-01-1070-00	Internal	0
49	Intrusion Detection	User failed logon limitations.	Security Management	Intrusion Detection			No Reuse	0
50	Data Recovery	Full System disaster recovery component.	Data Management	Data Recovery			No Reuse	0

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Library / Storage	Service Access and Delivery	Access Channels		
2	Forms Creation	Component Framework	Presentation / Interface		
3	Task Management	Service Platform and Infrastructure	Software Engineering		
4	Access Control	Component Framework	Security		
5	Ad Hoc	Component Framework	Data Management		
6	Standardized / Canned	Component Framework	Data Management		
7	Customer / Account Management	Service Platform and Infrastructure	Delivery Servers		
8	Event / News Management	Component Framework	Security		
9	Intrusion Detection	Service Access and Delivery	Service Transport		
10	Threaded Discussions	Service Platform and Infrastructure	Software Engineering		
11	Forms Modification	Service Platform and Infrastructure	Delivery Servers		
12	Classification	Service Platform and Infrastructure	Delivery Servers		
13	Precision / Recall Ranking	Service Platform and Infrastructure	Delivery Servers		

14	Query	Service Platform and Infrastructure	Delivery Servers		
15	Email	Service Access and Delivery	Access Channels		
16	Inbound Correspondence Management	Service Platform and Infrastructure	Delivery Servers		
17	Data Recovery	Service Platform and Infrastructure	Delivery Servers		
18	Cryptography	Component Framework	Security		
19	Meta Data Management	Service Platform and Infrastructure	Delivery Servers		
20	Loading and Archiving	Service Platform and Infrastructure	Delivery Servers		
21	Data Integration	Service Platform and Infrastructure	Delivery Servers		
22	Legacy Integration	Service Platform and Infrastructure	Delivery Servers		
23	Billing and Accounting	Service Platform and Infrastructure	Software Engineering		
24	Internal Controls	Service Platform and Infrastructure	Software Engineering		
25	Payment / Settlement	Service Platform and Infrastructure	Software Engineering		
26	Ad Hoc	Component Framework	Data Management		
27	Identification and Authentication	Service Platform and Infrastructure	Support Platforms		
28	Standardized / Canned	Service Platform and Infrastructure	Delivery Servers		
29	Online Help	Component Framework	Data Management		
30	Data Classification	Service Platform and Infrastructure	Software Engineering		
31	Data Exchange	Component Framework	Data Interchange		
32	Extraction and Transformation	Service Platform and Infrastructure	Software Engineering		
33	Loading and Archiving	Component Framework	Data Management		
34	Data Integration	Service Platform and Infrastructure	Delivery Servers		
35	Instrumentation and Testing	Service Platform and Infrastructure	Support Platforms		
36	Legacy Integration	Service Platform and Infrastructure	Delivery Servers		
37	Auditing	Service Platform and Infrastructure	Software Engineering		
38	Billing and Accounting	Service Platform and Infrastructure	Software Engineering		
39	Internal Controls	Service Platform and Infrastructure	Software Engineering		
40	Payment / Settlement	Component Framework	Data Management		

41	Graphing / Charting	Service Platform and Infrastructure	Delivery Servers		
42	Online Help	Service Platform and Infrastructure	Software Engineering		
43	Alerts and Notifications	Service Platform and Infrastructure	Delivery Servers		
44	Classification	Service Platform and Infrastructure	Delivery Servers		
45	Document Conversion	Service Platform and Infrastructure	Hardware / Infrastructure		
46	Document Imaging and OCR	Component Framework	Data Interchange		
47	Document Referencing	Service Platform and Infrastructure	Hardware / Infrastructure		
48	Document Review and Approval	Service Platform and Infrastructure	Software Engineering		
49	Document Revisions	Service Platform and Infrastructure	Delivery Servers		
50	Indexing	Service Platform and Infrastructure	Delivery Servers		
51	Library / Storage	Service Platform and Infrastructure	Delivery Servers		
52	Document Classification	Service Platform and Infrastructure	Delivery Servers		
53	Document Retirement	Component Framework	Data Management		
54	Record Linking / Association	Service Platform and Infrastructure	Software Engineering		
55	Outbound Correspondence Management	Service Platform and Infrastructure	Software Engineering		
56	Case Management	Service Platform and Infrastructure	Software Engineering		
57	Conflict Resolution	Service Platform and Infrastructure	Software Engineering		
58	Process Tracking	Service Platform and Infrastructure	Software Engineering		
59	Identification and Authentication	Service Access and Delivery	Service Requirements		
60	Access Control	Component Framework	Security		

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

5.a. If yes, please describe.

There are currently no existing components and/or applications across the Government that the TCIS investment can leverage as this function is unique to FMS.

6. Does this investment provide the public with access to a government automated information system?

no

PART TWO

RISK

<i>You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.</i>
<i>Answer the following questions to describe how you are managing investment risks.</i>
<i>1. Does the investment have a Risk Management Plan?</i>
yes
<i>1.a. If yes, what is the date of the plan?</i>
2006-10-24
<i>1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?</i>
no
<i>3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (O&M investments do NOT need to answer.)</i>
Investment risks are cost and schedule adjusted by incorporating flexibility into the start and end dates and corresponding planned costs of the investment DME milestones. This built in flexibility enables the investment to adjust the critical start and end dates of tasks and milestones, along with the associated life-cycle costs. This strategy allows for satisfactory rework, if required and final testing of each completed module prior to implementation or early commencement of follow-on tasks due to early completion of prerequisite tasks. TCIS life-cycle costs have been adjusted to accommodate the impact of these identified risks. Risk adjustments for life-cycle cost and schedule variances lessen the impact of uncertainties and increase the probability for a successful TCIS startup.
COST & SCHEDULE
<i>Does the earned value management system meet the criteria in ANSI/EIA Standard 748?</i>
yes
<i>2.a. What is the Planned Value (PV)?</i>
10.134
<i>2.b. What is the Earned Value (EV)?</i>
10.134
<i>2.c. What is the actual cost of work performed (AC)?</i>
9.657
<i>What costs are included in the reported Cost/Schedule Performance information?</i>
Contractor and Government
<i>2.e. As of date:</i>
2006-12-31
<i>3. What is the calculated Schedule Performance Index (SPI= EV/PV)?</i>
1
<i>4. What is the schedule variance (SV = EV-PV)?</i>
0.000
<i>5. What is the calculated Cost Performance Index (CPI = EV/AC)?</i>
1.05
<i>6. What is the cost variance (CV = EV-AC)?</i>
0.477
<i>7. Is the CV or SV greater than 10%?</i>
no
<i>7.d. What is most current Estimate at Completion?</i>
9.657
<i>8. Have any significant changes been made to the baseline during the past fiscal year?</i>
no